Auditing/Certification

 trois legged stool

Fundamentally all types of auditing is comprised of:
- “What” criteria/standard
- “How” the certification system/process works (transparency)
- “Who” auditor competency
Unaccredited 3rd Party Audits
(Was Common in US)

Pros:
✓ Cheap
✓ Quick
✓ Flexible

Cons:
✓ External rules
✓ Oversight
✓ Transparency
✓ Independence
✓ Competition

Audit Company Controls
What (Std), How (Process), Who (Auditor)

Site
Food/Feed Safety System
Audit Key Criteria Comparison
Common Audits

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67 KEY CRITERIA: (Food Safety, Regulatory, Quality Management)

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GFSI has 3 key rules affecting typical US unaccredited audits

1- Standard (**what**): Audit standard must be benchmarked & public to accredited Certification Bodies

2- Accreditation (**how**): Certification Bodies accredited by IAF recognized AB’s & follow ISO audit rules

3- Competency (**who**): Auditor must be qualified/competent to GFSI & scheme standards
Accredited 3\textsuperscript{rd} Party Certification

\textit{(GFSI Model)}

\begin{itemize}
\item GFSI \textit{Global Food Safety Initiative}
\item SCHEME \textit{Standard + Mgmt System}
\item IAF* \textit{Int’l Accreditation Forum}
\item ISO \textit{Int’l Standards Org}
\item AB \textit{Accreditation Body}
\item CB \textit{Certification Body}
\item AUDITOR
\item Site \textit{Food/Feed Safety System}
\end{itemize}

**GFSI Adds To ISO Model:**
- Rules for mgmt/delivery of standard
- Scheme accountability

**Pros:**
- Multi-stakeholder approach
- Benchmarking of schemes
- Requirements for schemes & auditors
- Accountability of scheme delivery
- Acceptance by industry

**Cons:**
- Oversight adds costs
- High std for emerging mkts

*Iaf includes Int’l Lab Accreditation Cooperation (ILAC)*