

# Public-Private Alliance for Responsible Minerals Trade

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## Expression of Intent

This form may be used to indicate interest of a company, agency, or organization in joining the Public-Private Alliance for Responsible Mineral Trade (PPA). The U.S. Agency for International Development (USAID), U.S. Department of State (USDoS), U.S. Department of Labor (USDOL), and other prospective PPA Participants understand that completing this expression of intent does not represent a final commitment or any binding obligation, and is contingent upon the respondent's approval of the PPA Memorandum of Understanding, due diligence,<sup>1</sup> and other reviews deemed necessary by the respondent.

Please review this document and send an electronic version of the completed, signed form to RESOLVE ([ppa@resolve.ngo](mailto:ppa@resolve.ngo)).

## Process for Prospective Participants

The steps for organizations interested in joining the PPA include the following:

1. Prospective Participants should send RESOLVE a completed, signed expression of intent.
2. USAID, USDoS, and USDOL will conduct a "due diligence" process (outlined on the USAID website [here](#)). Essentially, this is a vetting process and review of publicly available information to make sure that PPA participants are aligned.
3. Concurrent to due diligence (step 2), prospective Participants should review the PPA Memorandum of Understanding (MOU) and prepare to sign this document.
4. Following USAID, USDoS, and USDOL due diligence, RESOLVE will notify current PPA members of new prospective Participants that have cleared the due diligence process, allowing a one-week window to share questions. Any questions from current Participants will be raised for discussion with the relevant prospective Participant.
5. Concurrent to notification of all PPA Participants of prospective Participants (step 4), prospective Participants should also ensure they are aware of current PPA Participants and other prospective Participants, confirming mutual comfort of association.
6. Status as a PPA Participant is contingent upon the above steps as well as receipt by RESOLVE of a signed MOU.

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<sup>1</sup> Due diligence related to reputational risk of association with other Participants is understood to be a requirement by some Participants and may be conducted at inception of the MOU, on an on-going regular basis, and as the need arises. All Participants intend to respect each other's requirements in this regard and to communicate in a timely manner to expedite the process for all other Participants, and to provide reasonable information to assist other Participants' due diligence while respecting confidentiality and proprietary disclosure requests and rights.

Please note that, as described above, prospective participants may be discussed within the PPA Governance Committee and the PPA Membership Work Group. Public announcement by the Facilitator or listing on the PPA website of a new participant will not take place until the completion of due diligence, confirmation of intended financial contribution, and receipt of a signed MOU.

USAID, USDoS, and USDOL each manage their own internal processes for due diligence reviews. RESOLVE will seek information and timeline estimates and keep prospective participants updated.

### Information and Signature Requested from Prospective Participants

Please share the following information to assist with the due diligence process and confirmation of eligibility.

<b>Organization Name(s)</b>	
<b>Organization branding info (e.g., Corp., Inc., LLC, LLP, NGO)</b>	
<b>Headquarters Address</b>	
<b>Dun &amp; Bradstreet Number (DUNS)</b>	
<b>Is the organization primarily operating, headquartered, or registered in the United States? (Y/N)</b>	
<b>Organization Website</b>	
<b>Purpose for joining PPA</b>	
<b>Financial Pledge<sup>2</sup></b>	

<sup>2</sup> As indicated in the PPA Protocols, individual companies and for-profit professional service organizations have a minimum expected commitment of \$25,000.00; associations have a minimum expected commitment of \$125,000.00. Funding (equal to or greater than the corresponding minimum commitment level) is due to the PPA funding pool managed by the Facilitator within 90 days of official commitment and signing of the MOU, unless other arrangements are made with the Facilitator, with official commitment to be determined by the individual organization in its sole discretion. Those entities committing above the minimum may choose to pay remaining expected committed funds (in excess of the corresponding minimum) at a later time. Participants may make additional funding commitments in subsequent years. Subsequent funding is not required and may be determined and announced periodically, as agreed on by individual funders, with consultation of other Participants.

<b>Contact Name</b>	
<b>Contact Title</b>	
<b>Contact Email</b>	
<b>Contact Phone</b>	

My organization acknowledges the steps and schedule summarized in this document and wishes to express intent to join the Public-Private Alliance for Responsible Minerals Trade.

Signature of Representative/Contact	Date